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(57) Abstract

This invention relates to an aerosol hair spray formulation containing 0.5 to 15 weight percent of a water-dispersible or water-dissipatible, linear sulfopolyester having a Tg of 40 °C to 50 °C and an inherent viscosity of 0.24 to 0.60 dl/g which contains repeat units from 20 to 26 mole percent dimethyl-5-sodiosulfoisophthalate and 74 to 80 mole percent isophthalic acid, based on 100 mole percent dicarboxylic acid; 10 to 30 mole percent 1,4-cyclohexaedimethanol and 70 to 90 mole percent diethylene glycol, based on 100 mole percent diol; and up to 60 weight percent of an alcohol. The aerosol hair spray formulations are clear, have improved dry time and curl retention, and exhibit less than 20 NTU's, which is a measure of turbidity, even at high concentrations of alcohol.

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CLEAR AEROSOL HAIR SPRAY FORMULATIONS CONTAINING A SULFOPOLYESTER IN A HYDROALCOHOLIC LIQUID VEHICLE

FIELD OF THE INVENTION

This invention relates to an aerosol hair spray formulation containing 0.5 to 15 weight percent of a water-dispersible or water-dissipatible, linear sulfopolyester having a Tg of 40°C to 50°C and an inherent viscosity of 0.24 to 0.60 dl/g which contains repeat units from 20 to 26 mole percent dimethyl-5-sodiosulfoisophthalate and 74 to 80 mole percent isophthalic acid, based on 100 mole percent dicarboxylic acid; 10 to 30 mole percent 1,4-cyclohexanedimethanol and 70 to 90 mole percent diethylene glycol, based on 100 mole percent diol; and up to 60 weight percent of an alcohol. The aerosol hair spray formulations are clear, have improved dry time and curl retention, and exhibit less than 20 NTU's, which is a measure of turbidity, even at high concentrations of alcohol.

BACKGROUND OF THE INVENTION

Hair sprays provide human hair with a particular shape or configuration and function by applying a thin film of a resin or gum onto the hair to adhere adjacent hairs together so that they retain the particular shape or configuration at the time of application.

U.S. Pat. No. 5,164,177 discloses a hair spray formulation containing 2-40% of a linear polymer including at least one vinyl or acrylate monomer, a water-soluble electrolyte, 30-90% water, and 0-30% alcohol. The water-soluble electrolyte is added to lower the viscosity of the composition to achieve a higher percentage of polymer in the composition. Such formulations, however, have poor humidity resistance, hold and curl retention.

U.S. Pat. No. 4,300,580 discloses hair spray formulations containing a water-dispersible or water-dissipatible linear sulfo-polyester fixative in a water/alcohol mixture. The diol component of the sulfopolyester contains at least 20 mole percent poly(ethylene glycol). Such formulations are fast drying and have good hair holding properties but possess the disadvantage of being very difficult to remove from the hair. For example, prolonged washing is required to completely remove the water-dispersible, linear polyester fixative to obtain hair with no tacky or sticky feel. U.S. Pat. No. 4,300,580 suggests adding other substances such as poly(alkylene ether) to increase the hardness and reduce the tackiness of the formulations. However, when such formulations containing a combination of the poly(alkylene glycol) and sulfopolyester are applied to hair and allowed to dry, the fixative causes a matting of the hair. Such matting hinders combing, brushing and styling of hair.

U.S. Pat. No. 5,266,303 discloses hair spray formulations containing a water-dispersible sulfopolyester having a glass transition temperature of 36°C to 40°C, a water-soluble polyvinyl lactam polymer, and water. The performance characteristics of such formulations are good. However, the drying time is too long, and the addition of alcohol, which would improve dry time, causes the formulations to become cloudy. Thus, the use of alcohol is not an option for improving the dry time of such formulations.

U.S. Pat. No. 5,158,762, discloses hair spray compositions containing a blend of a sulfopolyester and a water-soluble polymer in water. The sulfopolyester contains at least 40 mole percent 1,4-cyclohexanedimethanol. The performance characteristics of such formulations are good, however,

the drying time is too long, and the addition of alcohol, which would improve dry time, causes the formulations to become cloudy. Thus, the use of alcohol is not an option for improving the dry time of such formulations.

U.S. Pat. No. 5,266,308, discloses aqueous hair spray compositions which contain a sulfopolyester, a water-soluble polymeric resin and a homopolymer of polyvinylpyrrolidone. The performance characteristics of such formulations are good, however, the drying time is too long, and the addition of alcohol, which would improve dry time, causes the formulations to become cloudy. Thus, the use of alcohol is not an option for improving the dry time of such formulations.

In contrast, the present inventors have unexpectedly determined that aerosol hair spray formulations which are clear and provide properties considered desirable for hair preparation such as fine spray patterns, fast drying times, prolonged curl retention under humid conditions, good holding power and resistance to build-up may be prepared with as much as 60 weight percent alcohol to facilitate rapid drying on the hair. The hair spray formulations of the present invention are clear and exhibit less than 20 NTU's which is a measure of turbidity. In the cosmetic field greater than 20 NTU's is characteristic of a cloudy mixture that is visible to the eye.

SUMMARY OF THE INVENTION

Accordingly, it is one object of the present invention to provide a clear aerosol hair spray formulation.

It is another object of the invention to provide a aerosol hair spray formulation which is not tacky, has a fast drying rate, acceptable body, consistency and

exhibits improved curl retention.

Another object of the invention is to provide a aerosol hair spray formulation which is clear and has excellent storage stability and which does not clog the exit port of a aerosol container.

These and other objects are accomplished herein by an aerosol hair spray formulation having improved dry time and curl retention and exhibiting less than 20 NTU's as a measure of clarity which comprises:

(1) a sulfopolyester having a Tg of 40°C to 50°C and an inherent viscosity of 0.24 to 0.60 dl/g which consists essentially of repeat units from

(a) a dicarboxylic acid component comprising of 20 to 26 mole percent dimethyl-5-sodiosulfoisophthalate and 74 to 80 mole percent isophthalic acid, based on 100 mole percent dicarboxylic acid;

(b) a diol component comprising of 10 to 30 mole percent 1,4-cyclohexanedimethanol and 70 to 90 mole percent diethylene glycol, based on 100 mole percent diol;

(2) a water/alcohol liquid vehicle; and

(3) 3 to 60 weight percent based on the weight of components (1), (2), and (3) of a propellant selected from the group consisting of a C_1- C_4 aliphatic hydrocarbon, dimethyl ether, and mixtures thereof, provided the sulfopolyester, component (1), is present in an amount of 0.5 to 15 weight percent, based on the total weight of the aerosol hair spray formulation.

DESCRIPTION OF THE INVENTION

The aerosol hair sprays of the present invention exhibit less than 20 NTU's which is a measure of the

turbidity of a mixture. In the cosmetic field greater than 20 NTU's is characteristic of a cloudy mixture that is visible to the eye. The clear aerosol hair spray formulations of this invention contain a sulfopolyester, component (1), in an amount of 0.5 to 15 weight percent, preferably 3 to 10 weight percent, and more preferably 5 to 8 weight percent, based on the total weight of the aerosol hair spray formulation.

The sulfopolyester, component (1), has a glass transition temperature of 40°C to 50°C and contains repeat units from a dicarboxylic acid, a diol and a difunctional sulfomonomer. The dicarboxylic acid component of the sulfopolyester contains 20 to 26 mole percent of dimethyl-5-sodiosulfoisophthalate and 74 to 80 mole percent isophthalic acid, based on 100 mole percent dicarboxylic acid. The diol component of the sulfopolyester contains 10 to 30 mole percent 1,4-cyclohexanedimethanol and 70 to 90 mole percent diethylene glycol, based on 100 mole percent diol. The sulfopolyester has an inherent viscosity (I.V.) of 0.24 to 0.60 dl/g.

Component (2) of the aerosol hair spray is a liquid vehicle. The liquid vehicle may be water or a water/alcohol mixture. Distilled or deionized water are the preferred sources of water since tap water generally contains ions which may precipitate the sulfopolyester, component (1). If a water/alcohol mixture is used, the amount of volatile organic components which include the alcohol and propellant cannot exceed 60% of the aerosol hair spray formulation. Therefore, at least 40% of the formulation is water and the remaining 60% is alcohol and propellant. Pref rably a water/alcohol mixture is used wherein the alcohol is present in an amount of 20 to 30 weight percent based on the weight of the aerosol hair spray formulation. The alcohol provides faster

drying of the formulation on hair as compared to formulations prepared with only water as the liquid vehicle. The alcohol is an aliphatic straight or branched chain monohydric alcohol having 2 to 4 carbon atoms. Isopropanol and ethanol are the preferred alcohols.

The aerosol hair spray formulations of the present invention require a propellant, component (3). The propellant can be any liquefiable gas conventionally used for aerosol containers. Examples of materials that are suitable for use as propellants are trichlorofluoromethane, dichlorodifluoromethane, dichlorotetrafluoroethane, monochlorodifluoromethane, trichlorotrifluoroethane, dimethyl ether, methane, ethane, propane, n-butane and isobutane, used singly, or admixed. Water-soluble gases such as dimethyl ether, carbon dioxide, and/or nitrous oxide also can be used to obtain aerosols having reduced flammability.

Other insoluble, compressed gases such as nitrogen, helium and fully-fluorinated oxetanes and oxepanes also are useful to deliver the formulations from aerosol containers. Other means of delivery of the above-described aqueous styling aid formulations include, aerosol sprayers, all forms of bag-in-can devices, in situ carbon dioxide (CO_2) generator systems, compressors, and the like.

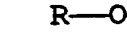
Preferably, the propellant is either a $C_1 - C_4$ aliphatic hydrocarbon or dimethyl ether. A preferred aliphatic hydrocarbon propellant is a mixture containing 83 percent isobutane and 17 percent propane. The amount of the propellant is governed by normal factors well known in the aerosol art. The level of propellant is generally from 3% to 60%, preferably from 5% to 45%, of the aerosol hair spray formulation. In the case where a $C_1 - C_4$ aliphatic hydrocarbon is used as the propellant,

generally 3 to 10 weight percent, preferably 4 to 7 weight percent, is employed. In the case where dimethyl ether is used as the propellant, generally, 20 to 40 weight percent, preferably, 30 to 35 weight percent, is employed. If a propellant such as dimethyl ether utilizes a vapor pressure suppressant (e.g., trichloroethane or dichloromethane), for weight percentage calculations, the amount of suppressant is included as part of the propellant.

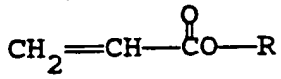
The aerosol hair spray formulations may optionally contain a water-soluble polymer or resin, component (4). The water-soluble polymer must be soluble or dispersible in liquid vehicle, component (2). The term "water-soluble" refers to any material that has solubility of at least 1 gram per 100 grams of water, i.e. 1%, preferably a solubility of at least 5% by weight. Conversely, the term "water-insoluble" refers to substances that are insoluble at a level of less than 1 gram per 100 grams of water, i.e., less than 1% by weight. Solubility or dispersibility is determined at ambient conditions (e.g., a temperature of 25°C and atmospheric pressure).

Water-soluble polymers useful in the formulations of the present invention are homopolymers or copolymers that can be rendered dispersible or soluble in aqueous or water/alcohol mixtures. The water-soluble polymer is a synthetic, linear, homopolymer or random copolymer including at least one, and preferably two or more, vinyl or acrylate monomers of the following group:

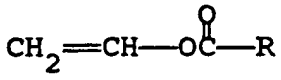
Alkyl vinyl ethers



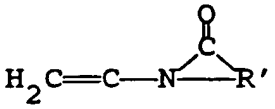
Alkyl Acrylates



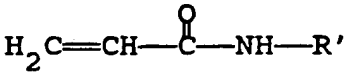
Vinyl esters



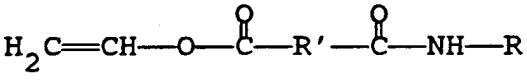
N-vinyl lactams



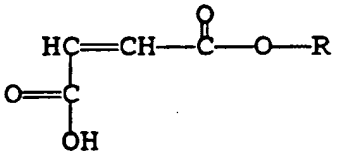
Alkyl acrylamides



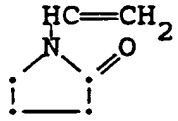
Half vinyl esters/half amides



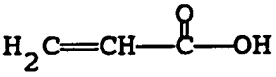
Half esters of maleic anhydride



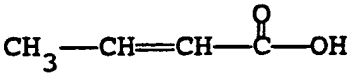
Vinyl pyrrolidone



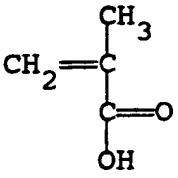
Acrylic acid



Crotonic Acid



Methacrylic acid



In the above formulas, R is a C_1 to C_{10} alkyl and R' is a C_1 to C_{10} alkylene. Preferred monomers for use in the water-soluble polymers are acrylic acid, vinyl pyrrolidone, vinyl acetate, crotonic acid, methacrylic acid or a combination thereof. Examples of preferred copolymers are the mono ethyl, isopropyl or n-butyl esters of poly(methyl vinyl ether/maleic acid); poly(vinyl pyrrolidone/vinyl acetate, poly(vinyl pyrrolidone/ethyl methacrylate/methacrylic acid), poly(ethyl acrylate/acrylic acid/N-t-butyl acrylamide), and poly(vinyl acetate/crotonic acid).

Other suitable classes of polymers include anionic, nonionic, amphoteric and cationic polymers. Specific polymers include polyvinylpyrrolidone (PVP), copolymers of PVP and methylmethacrylate, copolymers of PVP and vinyl acetate (VA), polyvinyl alcohol (PVA), copolymers of PVA and crotonic acid, copolymers of PVA and maleic anhydride, hydroxypropyl cellulose, hydroxypropyl guar gum, sodium polystyrene sulfonate, PVP/ethylmethacrylate/methacrylic acid terpolymer and octylacrylamide/acrylate/butylaminoethyl methacrylate copolymers, and mixtures. A preferred vinyl polymer or copolymer contains at least 50 mole percent of the residues of n-vinyl lactam monomer such as N-vinylpyrrolidinone.

With certain of the acidic water-soluble polymers, it may be necessary to neutralize some acidic groups to promote solubility/dispersibility, e.g., PVA/crotonic acid. Neutralization and increased solubilization are accomplished with one or more inorganic bases such as sodium hydroxide, potassium hydroxide, ammonium hydroxide and/or ammonium carbonate. Among stable organic bases are the water soluble bases such as monoethanolamine (MEA), diethanolamine (DEA), triethanolamine (TEA), 2-methyl-2-amino-1-propanol

(AMP), monoamino glycols, and the like, which help solubilize the polymer in water solutions. The level of neutralization required for solubilization varies for each polymer. All of the above-described acidic polymers become soluble in water and hydroalcoholic solutions at 100% neutralization, and all described levels of water/alcohol/propellant solutions. The pH of these solutions usually ranges from 9 to 12. The lowest neutralization level needed to render the polymer water soluble or dispersible depends on the kind of polymer, and the amount of alcohol, water and propellant. For instance, for poly(methyl vinyl ether/maleic acid) in water the lowest neutralization level is 40% with sodium hydroxide and AMP; for poly(ethyl acrylate/acrylic acid/N-t-butyl acrylamide) the lowest neutralization level is 75% with AMP and 65% with sodium hydroxide. At these neutralization levels, the pH of the solutions range from 5 to 7. A slightly acidic or neutral pH such as this is preferred, however, the pH of the formulations of the present invention can vary from 4 to 9. Saponification of the ester linkages may occur under alkaline conditions.

The water-soluble polymers may be prepared according to known procedures wherein, for example, a N-vinyl lactam is polymerized, optionally in the presence of one or more other vinyl monomers such as those described above. The N-vinylpyrrolidinone/vinyl acetate copolymers supplied by BASF under the trademark LUVISKOL VA are typical of the water-soluble polymers which may be used in the aerosol hair spray formulations of the present invention. The preferred water-soluble polymers comprise homopolymers of N-vinyl-2-pyrrolidinone and copolymers of N-vinyl-2-pyrrolidinone and up to 50 mole percent vinyl acetate having weight average molecular weights in the

range of 1000 to 100,000. The water-soluble polymers are used at a level of from 0.5% to 10% by weight, generally 1% to 5% by weight, and preferably from 2% to 4% by weight of the total formulation. The weight average molecular weight of the water-soluble polymers is not critical but is generally in the range from 1,000 to 2,000,000.

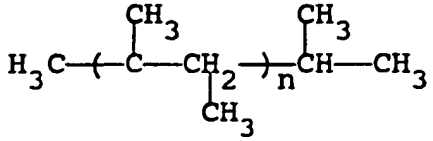
The aerosol formulations also can contain a variety of other nonessential, optional components suitable for rendering such formulations more acceptable. Such conventional optional ingredients are well known to those skilled in the art, e.g., other emulsifiers such as anionics (e.g., sodium alkyl sulfate); preservatives such as benzyl alcohol, methyl paraben, propyl paraben and imidazolidinylurea; cationic emulsifiers/conditioners such as cetyl trimethyl ammonium chloride, stearyl-dimethyl benzyl ammonium chloride, and di(partially-hydrogenated tallow) dimethylammonium chloride; thickeners and viscosity modifiers such as diethanolamide of a long chain fatty acid, fatty alcohols (i.e., cetearyl alcohol), sodium chloride, sodium sulfate, and ethyl alcohol; pH adjusting agents such as citric acid, succinic acid, sodium hydroxide and triethanolamine; coloring agents such as any of the FD&C or D&C dyes; hair oxidizing (bleaching) agents such as hydrogen peroxide, perborate salts and persulfate salts; hair reducing agents such as thioglycolates; perfume oils; chelating agents such as ethylenediaminetetraacetic acid; and among many other agents, polymer plasticizing agents such as glycerin and propylene glycol. These optional materials are generally used individually at a level of from 0.001% to 19%, pr ferably from 0.01% to 5% by weight of the total formulation. It is important to note that the use of cationic emulsifiers in amounts of greater than 1% may

precipitate the sulfopolyester, component (1).

The aerosol hair-spray formulation of the present invention may also include from 0.01% to 10%, preferably, 0.1% to 2% by weight of a non-volatile silicone compound or other conditioning agent(s), preferably a water-insoluble, emulsifiable conditioning agent. The preferred non-volatile silicone compound is a polydimethylsiloxane compound, such as a mixture of a low molecular weight polydimethylsiloxane fluid and a higher molecular weight polydimethylsiloxane gum. The non-volatile polydimethylsiloxane compound is added to the formulation of the present invention in an amount sufficient to provide improved combing and improved feel (softness) to the hair after shampooing.

Another particularly suitable conditioning agent that can be included in the formulation of the present invention is a volatile hydrocarbon, such as a hydrocarbon including from 10 to 30 carbon atoms, that has sufficient volatility to slowly volatilize from the hair after application of the aerosol hair spray formulation. The volatile hydrocarbons provide essentially the same benefits as the silicone conditioning agents.

The preferred volatile hydrocarbon compound is an aliphatic hydrocarbon including from 12 to 24 carbon atoms, and having a boiling point in the range of from 100° C to 300°C. Exemplary volatile hydrocarbons are depicted in general structural formula (I), wherein n ranges from 2 to 5,



(I)

Examples of volatile hydrocarbons useful in the aerosol hair spray formulation of the present invention

are the commercially-available compounds PERMETHYL 99A and PERMETHYL 101A, corresponding to compounds of general structure (I) wherein n is 2 and 3, respectively, available from Permethyl Corporation, Frazer, Pa. A volatile hydrocarbon compound is useful in the formulation of the present invention either alone, in combination with another volatile hydrocarbon, or in combination with a volatile silicone.

Examples of other suitable water-insoluble conditioning agents that can be incorporated into the hair spray formulations of the present invention include the following: polysiloxane polyether copolymers; polysiloxane polydimethyl dimethylammonium acetate copolymers; acetylated lanolin alcohols; lauryl dimethylamine oxide; a lanolin-derived extract of sterol on sterol esters; lanolin alcohol concentrate; an isopropyl ester of lanolin fatty acids; sulfur rich amino acid concentrates; isopropyl ester of lanolin fatty acids; oleyl alcohol; stearyl alcohol; stearamidopropyl dimethyl myristyl acetate; a polyol fatty acid; a fatty amido amine; guar hydroxypropyltrimonium chloride; cetyl/stearyl alcohol; keratin protein derivatives; isostearamidopropyl dimethylamine; stearamidopropyl dimethylamine; an aminofunctional silicone; isopropyl ester of lanolic acids, ethoxylated (30) castor oil; acetylated lanolin alcohol, fatty alcohol fraction of lanolin, a mineral oil and lanolin alcohol mixture; high molecular weight esters of lanolin; vinylpyrrolidone/dimethylaminoethylmethacrylate copolymer, 5 mole ethylene oxide adduct of soya sterol; 10 mole ethylene oxide adduct of soya sterol; stearic acid ester of ethoxylated (20 mole) methyl glucoside; sodium salt of polyhydroxycarboxylic acid; hydroxylated lanolin; cocamidopropyl dimethylamine lactate;

cocamidopropyl dimethylamine propionate; cocamidopropyl morpholine lactate; isostearamidopropyl dimethylamine lactate; isostearamidopropyl morpholine lactate; oleamidopropyl dimethylamine lactate; linoleamidopropyl dimethylamine lactate; stearamidopropyl dimethylamine lactate, ethylene glycol monostearate and propylene glycol mixture; stearamidopropyl dimethylamine lactate; acetamide MEA; lactamide MEA; stearamide MEA; behenalkonium chloride; behenyl trimethyl ammonium methosulfate and cetearyl alcohol mixture; cetearyl alcohol; tallow imidazolinum methoxulfate, stearyl trimonium methosulfate; mixed ethoxylated and propoxylated long chain alcohols; stearamidopropyl dimethylamine lactate, polonitomine oxide; oleamine oxide, stearamide oxide; soya ethyldimonium ethosulfate; ricinolamidopropyl ethyldimonium ethosulfate; N-(3-isostearamidopropyl)-N,N-dimethyl amino glycolate; N-(3-isostearamidopropyl)-N,N-dimethyl amino gluconate; hydrolyzed animal keratin; ethyl hydrolyzed aminal keratin; stearamidoethyl diethylamine; cocamidopropyl dimethylamine; lauramidopropyl dimethylamine, oleamidopropyl dimethylamine; palmitamidopropyl dimethylamine; stearamidopropyl dimethylamine lactate; avocado oil; sweet almond oil, grape seed oil; jojoba oil; apricot kernel oil; sesame oil; hydrid safflower oil; wheat germ oil; cocamidoamine lactate; ricinoleamido amine lactate; stearamido amine lactate; stearamido morpholine lactate; isostearamido amine lactate; isostearamido morpholine lactate; wheat germamido dimethylamine lactate; wheat germamidopropyl dimethylamine oxide; disodium isostearamido MEA sulfosuccinate; disodium oleamide PEG-2 sulfosuccinate; disodium oleamide MEA sulfosuccinate; disodium ricinoleyl MEA sulfosuccinate; disodium wheat germamido MEA sulfosuccinate; disodium wheat germamido PEG-2

sulfosuccinate; stearamido amine; stearamido morpholine; isostearamido amine; isostearamido morpholine; polyethylene glycol (400) mono and distearates; synthetic calcium silicate; isostearic alkanolamide; ethyl esters of hydrolyzed animal protein; blend of cetyl and stearyl alcohols with ethoxylated cetyl or stearyl alcohols; amido amines; polyamido amines; propoxylated (1-20 moles) lanolin alcohols; isostearamide DEA; and hydrolyzed collagen protein. Water-insoluble cationic conditioning agents in amounts of less than 1% may also be used. The use of water-insoluble cationic conditioning agents in amounts of greater than 1% may precipitate the sulfopolyester, component (1).

The aqueous formulations of the present invention also can contain the conventional hair spray adjuvants in amounts which generally range from 0.01 to 2% by weight and preferably 0.1% to 1% by weight. Among the additives which can be used are plasticizers such as glycols, phthalate esters and glycerine; silicones; emollients; lubricants and penetrants such as various lanolin compounds; protein hydrolysates and other protein derivatives; ethylene adducts and polyoxyethylene cholesterol; dyes, tints and other colorants; and perfumes.

Other conventional additives such as preservatives, fragrances, antifoaming agents, hair conditioners, plasticizers, etc. may be added in such quantities as desired, up to 5.0% by weight of the total formulation. Although the film-forming formulations described herein are particularly useful as aerosol hair sprays for the grooming of hair, it is possible that the formulations, with or without modification, may be used in other types of personal care products.

Suitable plasticizers include: Dimethicone Copolyol

(Dow Corning 190) at 0.01–0.02%, PEG–6 Capric/Caprylic Glyceride (Softigen 767) at 0.5–2.0%, Diacetin at 1.0–2.0, Lauramide DEA (Monamid 716) at 0.1–1.0%, Phenyl Trimethicone (Abil AV 20–1000) at 0.1–0.2%, propylene glycol at 1.0–5.0%, dipropylene glycol at 1.0–5.0%.

The materials and testing procedures used for the results shown herein are as follows:

DYMEL A (CTFA Adopted Name: Dimethyl Ether) available from DuPont, is a dimethyl ether and is used as a propellant.

LUVISKOL VA 73W PVP/VA (CTFA Adopted Name: PVP/VA Copolymer), available from BASF, is a water–soluble vinyl copolymer of 70 mole percent of N–vinyl–2–pyrrolidinone and 30 mole percent of vinyl acetate (50% solid).

SDA–40C is ethanol that has been diluted with ethyl acetate, and is available from Eastman Chemical Company.

Glass transition temperature was determined using a differential scanning calorimeter (DSC).

Inherent viscosity (I.V.) was measured at 23°C using 0.50 grams of polymer per 100 ml of a solvent consisting of 60% by weight phenol and 40% by weight tetrachloroethane.

Turbidity was measured in NTU's using a model DRT–100B Turbidimeter.

The invention will be further illustrated by a consideration of the following examples, which are intended to be exemplary of the invention. All parts and percentages in the examples are on a weight basis unless otherwise stated.

EXAMPLE I

Preparation of water–dispersible Sulfopolyesters A–E.

A round bottom flask equipped with ground–glass head, an agitator shaft, nitrogen inlet and a side arm

was charged with isophthalic acid, dimethyl-5-sodiosulfoisophthalate (SIP), diethylene glycol (DEG), and 1,4-cyclohexanedimethanol (CHDM), in the mole percents as set forth below. For comparison purposes, Table I summarizes critical values for each sulfopolyester. A catalyst was added and the flask was immersed in a Belmont bath at 200°C for one hour under a nitrogen sweep. The temperature of the bath was increased to 230°C for one hour. The temperature of the bath was increased to 280°C and the flask was heated for 45 minutes under reduced pressure of 0.5 to 0.1 mm of Hg. The flask was allowed to cool to room temperature and the copolyester was removed from the flask. Each sulfopolyester was extruded and pelletized.

More specifically, the composition of each sulfopolyester was as follows:

Sulfopolyester A was prepared with 20.2 mole percent dimethyl-5-sodiosulfoisophthalate and 79.8 mole percent isophthalic acid, and 21.9 mole percent 1,4-cyclohexanedimethanol and 78.1 mole percent diethylene glycol, based on 100 mole percent dicarboxylic acid and 100 mole percent diol. Sulfopolyester A has a Tg of 42°C and an I.V. of 0.33 dl/g.

Sulfopolyester B was prepared with 22.0 mole percent dimethyl-5-sodiosulfoisophthalate and 78.0 mole percent isophthalic acid, and 23.0 mole percent 1,4-cyclohexanedimethanol and 77.0 mole percent diethylene glycol, based on 100 mole percent dicarboxylic acid and 100 mole percent diol.

Sulfopolyester B has a Tg of 47°C and an I.V. of 0.33 dl/g.

Sulfopolyester C was prepared with 15.6 mole percent dimethyl-5-sodiosulfoisophthalate and 84.4 mole percent isophthalic acid, and 24.2 mole percent

1,4-cyclohexanedimethanol and 75.8 mole percent diethylene glycol, based on 100 mole percent dicarboxylic acid and 100 mole percent diol.

Sulfopolyester C has a Tg of 39°C and an I.V. of 0.29 dl/g.

Sulfopolyester D was prepared with 11.0 mole percent dimethyl-5-sodiosulfoisophthalate and 89.0 mole percent isophthalic acid, and 22.0 mole percent 1,4-cyclohexanedimethanol and 78.0 mole percent diethylene glycol, based on 100 mole percent dicarboxylic acid and 100 mole percent diol.

Sulfopolyester D has a Tg of 38°C and an I.V. of 0.36 dl/g.

Sulfopolyester E was prepared with 18.0 mole percent dimethyl-5-sodiosulfoisophthalate and 82.0 mole percent isophthalic acid, and 46.0 mole percent 1,4-cyclohexanedimethanol and 54.0 mole percent diethylene glycol, based on 100 mole percent dicarboxylic acid and 100 mole percent diol.

Sulfopolyester E has a Tg of 55°C and an I.V. of 0.33 dl/g.

TABLE I

Summary of Sulfopolyester Compositions:

Sulfopolyester	SIP	CHDM	I.V.	Tg
A	20.2	21.9	0.33	42°C
B	22.0	23.0	0.33	47°C
C	15.6	24.2	0.29	39°C
D	11.0	22.0	0.36	38°C
E	18.0	46.0	0.33	55°C

EXAMPLE II

Preparation of aerosol hair spray formulations using the sulfopolyesters of Example I.

In some examples, ten grams of the sulfopolyester

was dispersed in 54.3 grams of distilled water by heating and stirring until a temperature of 75° to 85°C was reached. After cooling to 40°C any water lost during heating was replaced and in some cases a water-soluble polymer consisting of 70 mole percent of N-vinyl-2-pyrrolidinone and 30 mole percent of vinyl acetate (50% solids) was added. Ethanol, 35.7 grams as SDA 40C, was added. The mixtures were vacuum filtered through a coarse center glass filter. A preservative, 1-(hydroxymethyl)-5,5-dimethyl hydantoin, 0.2 grams, was added.

In some examples, ten grams of the sulfopolyester was dispersed in 90 grams of distilled water by heating and stirring until a temperature of 75° to 85°C was reached. After cooling to 40°C any water lost during heating was replaced and in some cases a water-soluble polymer consisting of 70 mole percent of N-vinyl-2-pyrrolidinone and 30 mole percent of vinyl acetate (50% solids) was added. The mixtures were vacuum filtered through a coarse center glass filter. A preservative, 1-(hydroxymethyl)-5,5-dimethyl hydantoin, 0.2 grams, was added.

To 65 grams of each of the mixtures was added 42 milliliters of dimethyl ether. The mixtures were sprayed into a glass cuvette which was placed in the Turbidimeter. The turbidity results are listed in Table II.

TABLE II

Turbidity Results (NTU's)
Using Sulfopolyesters (A–E)

Example	A	B	C	D	E
7% Sulfopoly 0% EtOH 30% DME	18.9	15.7	41.5	64.6	36.1
5% Sulfopoly 2% PVP/VA 0% EtOH 30% DME	19.8	15.9	41.0	63.0	33.8
7% Sulfopoly 25% EtOH 30% DME	14.0	14.0	325	301	981
5% Sulfopoly 2% PVP/VA 25% EtOH 30% DME	13.0	13.0	238	205	1290

The results in Table II clearly indicate that aerosol hair sprays prepared with Sulfopolyesters A and B, which meet the criteria of the present invention, result in clear hair sprays even at 55% volatile organic solvent. The hair sprays prepared with Sulfopolyesters A and B exhibit significantly less than 20 NTU's which is a measure of turbidity. Greater than 20 NTU's is characteristic of a cloudy mixture. Moreover, the presence of a water–soluble polymer in the formulations of the present invention does not deleteriously effect the clarity of the aerosol hair spray formulations. In contrast, the addition of alcohol to hair spray formulations prepared with Sulfopolyesters C, D, or E result in extremely cloudy hair spray formulations with as little as 30% volatile organic solvent.

EXAMPLE III

Curl Retention Evaluation of Aerosol Hair Sprays.

The aerosol hair spray prepared from Sulfopolyesters B and D of Example II and LUVISKOL VA 73W PVP/VA were sprayed on a tress for ten seconds. Testing was done on natural brown, European virgin hair tresses in which two grams of hair, root end, were glued to a 2" by 2" plastic tab. The tresses were cut so that the length of hair hanging below the tabs was six inches. Prior to applying the aerosol hair spray, the tresses had been washed with a nonconditioning shampoo, placed in ethanol bath for 15 minutes, rinsed with deionized water, wrapped around a one inch diameter curler while wet, and placed in an oven at 45°C. to dry. The tresses were removed from the oven and allowed to cool to room temperature.

The tresses were hung in a humidity chamber at 25°C and 80% relative humidity. The curl loss or droop was determined over a one hour period in ten minute intervals. The test results are listed in Table III.

TABLE III

Curl Retention Evaluation of Aerosol Hair Sprays

	Time (minutes)						
Polymer	0	10	20	30	40	50	60
Sulfo poly B	100	100	98.1	96.5	96.5	95.2	95.2
Sulfo poly D	100	91.4	88.9	84.3	84.3	84.3	84.3
PVP/VA	100	79.8	74.3	70.4	67.2	67.2	67.2

The test results in Table III indicate that aerosol hair sprays prepared using the critical ranges of the present invention clearly are superior in maintaining curl retention as compared to aerosol hair sprays that

fall outside the critical ranges. In addition, the data indicates that the use of water-soluble PVP/VA polymers without the sulfopolyesters of the present invention result in poor curl retention and poor humidity resistance.

Many variations will suggest themselves to those skilled in this art in light of the above detailed description. All such obvious modifications are within the full intended scope of the appended claims.

WHAT IS CLAIMED IS

1. A clear aerosol hair spray formulation having improved dry time and curl retention comprising:

(1) a sulfopolyester having a Tg of 40°C to 50°C and an inherent viscosity of 0.24 to 0.60 dl/g which comprising of repeat units from

(a) a dicarboxylic acid component consisting of 20 to 26 mole percent dimethyl-5-sodiosulfoisophthalate and 74 to 80 mole percent isophthalic acid, based on 100 mole percent dicarboxylic acid;

(b) a diol component comprising of 10 to 30 mole percent 1,4-cyclohexanedimethanol and 70 to 90 mole percent diethylene glycol, based on 100 mole percent diol;

(2) a water/alcohol liquid vehicle; and

(3) 3 to 60 weight percent based on the weight of components (1), (2), and (3) of a propellant selected from the group consisting of a C_1- C_4 aliphatic hydrocarbon, dimethyl ether, and mixtures thereof, provided the sulfopolyester, component (1), is present in an amount of 0.5 to 15 weight percent, based on the total weight of the aerosol hair spray formulation.

2. A clear aerosol hair spray formulation exhibiting less than 20 NTU's and having improved dry time and curl retention comprising:

(1) 0.5 to 15 weight percent of a sulfopolyester having a Tg of 40°C to 50°C and an inherent viscosity of 0.24 to 0.60 dl/g which consists essentially of repeat units from

(a) a dicarboxylic acid component comprising of 20 to 26 mole percent dimethyl-5-sodiosulfoisophthalate and 74

to 80 mole percent isophthalic acid, based on 100 mole percent dicarboxylic acid;

(b) a diol component comprising of 10 to 30 mole percent 1,4–cyclohexanedimethanol and 70 to 90 mole percent diethylene glycol, based on 100 mole percent diol;

(2) a water/alcohol liquid vehicle; and

(3) 3 to 60 weight percent based on the weight of components (1), (2), and (3) of a propellant selected from the group consisting of a C_1–C_4 aliphatic hydrocarbon, dimethyl ether, and mixtures thereof.

3. A clear aerosol hair spray formulation exhibiting less than 20 NTU's and having improved dry time and curl retention comprising:

(1) 3 to 10 weight percent of a sulfopolyester having a Tg of 40°C to 50°C and an inherent viscosity of 0.24 to 0.60 dl/g which consists essentially of repeat units from

(a) a dicarboxylic acid component comprising of 20 to 26 mole percent dimethyl–5–sodiosulfoisophthalate and 74 to 80 mole percent isophthalic acid, based on 100 mole percent dicarboxylic acid;

(b) a diol component comprising of 10 to 30 mole percent 1,4–cyclohexanedimethanol and 70 to 90 mole percent diethylene glycol, based on 100 mole percent diol;

(2) a water/alcohol liquid vehicle;

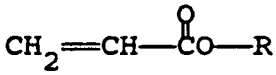
(3) 3 to 40 weight percent based on the weight of components (1), (2), and (3) of a propellant selected from the group consisting of a C_1–C_4 aliphatic hydrocarbon, dimethyl ether, and mixtures thereof; and

(4) 0.5 to 10 weight percent based on the weight of components (1), (2), (3), and (4) of a water-soluble polymer which includes a monomer selected from the group consisting of a vinyl monomer and an acrylate monomer.

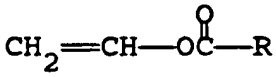
4. The aerosol hair spray formulation of Claim 3 wherein the water-soluble polymer is prepared from at least one vinyl or acrylate monomer selected from the group consisting of

alkyl vinyl ethers

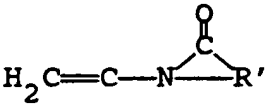
alkyl acrylates



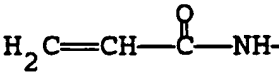
vinyl esters



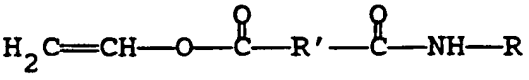
N-vinyl lactams



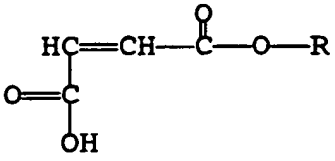
alkyl acrylamides



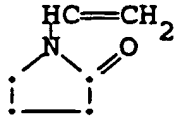
half vinyl esters/half amides



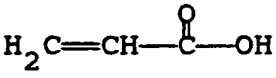
half esters of maleic anhydride



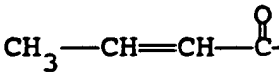
vinyl pyrrolidone



acrylic acid

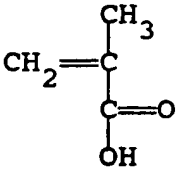


crotonic acid



, and

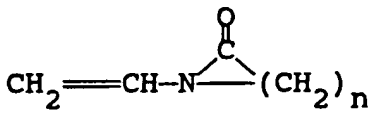
methacrylic acid



wherein R is a C_1 to C_{10} alkyl and R' is a C_1 to C_{10} alkylene.

5. The aerosol hair spray formulation of Claim 4 wherein the water-soluble polymer, component (4), is selected from the group consisting of polyvinyl pyrrolidone, polyvinyl caprolactam, polyvinyl acetate, polyacrylates, methacrylates, and copolymers and terpolymers of such monomers.

6. The aerosol hair spray formulation of Claim 5 wherein the water-soluble polymer is a polyvinyl lactam polymer containing at least 50 mole percent of residues of N-vinyl lactams of the formula



wherein n is 3 or 4.

7. The aerosol hair spray formulation of Claim 1 wherein the propellant, component (3), is a $C_1 - C_4$ aliphatic hydrocarbon selected from the group consisting of methane, ethane, trichlorofluoromethane, dichlorodifluoromethane, dichlorotetrafluoroethane, monochlorodifluoromethane, trichlorctrifluoroethane, dimethyl ether, 1,1—difluoroethane, propane, n—butane, isobutane, carbon dioxide, nitrous oxide, nitrogen, helium, fluorinated oxetane, fluorinated oxepane, and mixtures thereof.

8. The aerosol hair spray of Claim 7 wherein the propellant, component (4), is a mixture containing 80 to 86 weight percent isobutane and 20 to 14 weight percent propane.

9. The aerosol hair spray formulation of Claim 1 wherein the propellant, component (3), is dimethyl ether.

10. The aerosol hair spray formulation of Claim 1 wherein the propellant, component (3), is 1,1—difluoroethane.

11. The aerosol hair spray formulation of Claim 1 wherein the combination of alcohol and propellant is less than 60 weight percent based on the weight of the aerosol hair spray formulation.

12. The aerosol hair spray formulation of Claim 11 wherein the combination of alcohol and propellant is 30 to 60 weight percent based on the weight of the aerosol hair spray formulation.

13. The aerosol hair spray formulation of Claim 12 wherein the combination of alcohol and propellant is 35 to 55 weight percent based on the weight of the aerosol hair spray formulation.

14. The aerosol hair spray formulation of Claim 13 wherein the combination of alcohol and propellant is 45 to 55 weight percent based on the weight of the aerosol hair spray formulation.

15. The aerosol hair spray formulation of Claim 1 wherein the alcohol is an aliphatic straight or branched chain monohydric alcohol having 2 to 4 carbon atoms.

16. The aerosol hair spray formulation of Claim 14 wherein the alcohol is selected from the group consisting of isopropanol and ethanol.

17. The aerosol hair spray formulation of Claim 15 wherein the alcohol is ethanol.

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 A61K7/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	WO,A,95 00105 (EASTMAN CHEMICAL CO.) 5 January 1995 see claims 1,13; example VIII	1-17
A	US,A,4 300 580 (O'NEILL ET AL.) 17 November 1981 cited in the application see column 4, line 27 - line 34; examples	1
A	US,A,5 158 762 (PIERCE) 27 October 1992 cited in the application see claims	1

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
16 October 1995	31. 10. 95

Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax (+ 31-70) 340-3016	Loiselet-Taisne, S

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
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US-A-4300580	17-11-81	NONE		
US-A-5158762	27-10-92	CA-A-	2128531	16-09-93
		WO-A-	9317658	16-09-93